

## Sample Written Authorization

**SAMPLE FORMAT:** Written Authorization**WRITTEN AUTHORIZATION TO PREPARE OR TRANSMIT ELECTRONIC EXPORT INFORMATION**

I, \_\_\_\_\_, authorize  
 (Name of U.S. Principal Party in Interest)  
 \_\_\_\_\_ to act as authorized agent for  
 (Name of Authorized Agent)  
 export control, U.S. Customs, and Census Bureau purposes to transmit such export information electronically that may be required by law or regulation in connection with the exportation or transportation of any goods on behalf of said U.S. Principal Party in Interest. The U.S. Principal Party in Interest certifies that necessary and proper documentation to accurately transmit the information electronically is and will be provided to the said Authorized Agent. The U.S. Principal Party in Interest further understands that civil and criminal penalties may be imposed for making false or fraudulent statements or for the violation of any U.S. laws or regulations on exportation and agrees to be bound by all statements of said authorized agent based upon information or documentation provided by the U.S. Principal Party in Interest to said authorized agent.

Signature: \_\_\_\_\_  
 (U.S. Principal Party in Interest)

Capacity: \_\_\_\_\_

Date: \_\_\_\_\_

## APPENDIX B TO PART 30—AES FILING CODES

## PART I—METHOD OF TRANSPORTATION CODES

- 10 Vessel
- 11 Vessel Containerized
- 12 Vessel (Barge)
- 20 Rail
- 21 Rail Containerized
- 30 Truck
- 31 Truck Containerized
- 32 Auto
- 33 Pedestrian
- 34 Road, Other
- 40 Air
- 41 Air Containerized
- 50 Mail
- 60 Passenger, Hand Carried
- 70 Fixed Transport (Pipeline and Powerhouse)

## PART II—EXPORT INFORMATION CODES

- TP Temporary exports of domestic merchandise
- IP Shipments of merchandise imported under a Temporary Import Bond for further manufacturing or processing

- IR Shipments of merchandise imported under a Temporary Import Bond for repair
- CH Shipments of goods donated for charity
- FS Foreign Military Sales
- OS All other exports
- HV Shipments of personally owned vehicles
- HH Household and personal effects
- TE Temporary exports to be returned to the United States
- TL Merchandise leased for less than a year
- IS Shipments of merchandise imported under a Temporary Import Bond for return in the same condition
- CR Shipments moving under a carnet
- GP U.S. Government shipments
- MS Shipments consigned to the U.S. Armed Forces
- GS Shipments to U.S. Government agencies for their use
- UG Gift parcels under Bureau of Industry and Security License Exception GFT
- DD Other exemptions:

## Census Bureau, Commerce

Currency  
Airline tickets  
Bank notes  
Internal revenue stamps  
State liquor stamps  
Advertising literature  
Shipments of temporary imports by foreign entities for their use  
RJ Inadmissible merchandise  
(For Manifest Use Only by AES Carriers)  
AE Shipment information filed through AES  
(See §§30.50 through 30.58 for information on filing exemptions.)

### PART III—LICENSE CODES

#### DEPARTMENT OF COMMERCE, BUREAU OF INDUSTRY AND SECURITY (BIS), LICENSES

- C30 Licenses issued by BIS authorizing an export, reexport, or other regulated activity.  
C31 SCL—Special Comprehensive License  
C32 NLR—No License Required (controlled for other than or in addition to Anti-Terrorism)  
C33 NLR—No License Required (All others, including Anti-Terrorism controls ONLY)  
C35 LVS—Limited Value Shipments  
C36 GBS—Shipments to B Countries  
C37 CIV—Civil End Users  
C38 TSR—Restricted Technology and Software  
C40 TMP—Temporary Imports, Exports, and Re-exports  
C41 RPL—Servicing and Replacement of Parts and Equipment  
C42 GOV—Government and International Organizations  
C43 GFT—Gift Parcels and Humanitarian Donations  
C44 TSU—Technology and Software—Unrestricted  
C45 BAG—Baggage  
C46 AVS—Aircraft and Vessels (AES not required)  
C47 APR—Additional Permissive Re-exports  
C48 KMI—Key Management Infrastructure  
C49 TAPS—Trans-Alaska Pipeline Authorization Act  
C50 ENC—Encryption Commodities and Software  
C51 AGR—License Exception Agricultural Commodities  
C53 APP—Adjusted Peak Performance (Computers)  
C54 SS-WRC—Western Red Cedar  
C55 SS-Sample—Crude Oil Samples  
C56 SS-SPR—Strategic Petroleum Reserves  
C57 VEU—Validated End User Authorization

#### NUCLEAR REGULATORY COMMISSION (NRC) CODES

- N01 NRC Form 250/250A—NRC Form 250/250A  
N02 NRC General License—NRC 'General' Export License

## Pt. 30, App. C

#### DEPARTMENT OF STATE, DIRECTORATE OF DEFENSE TRADE CONTROLS (DDTC) CODES

- SAG—Agreements  
SCA—Canadian ITAR Exemption  
S00—License Exemption Citation  
S05—DSP-5—Permanent export of unclassified defense articles and services  
S61—DSP-61—Temporary import of unclassified articles  
S73—DSP-73—Temporary export of unclassified articles  
S85—DSP-85—Temporary or permanent import or export of classified articles  
S94—DSP-94—Foreign Military Sales

#### DEPARTMENT OF TREASURY, OFFICE OF FOREIGN ASSETS CONTROL (OFAC) CODES

- T10—OFAC Specific License  
T11—OFAC General License  
T12—Kimberley Process Certificate Number

### OTHER LICENSE TYPES

#### OPA—Other Partnership Agency License

For export license exemptions under International Traffic in Arms Regulations, refer to 22 CFR 120-130 of the ITAR for the list of export license exemptions.

### PART IV—IN-BOND CODES

- 70 Not In Bond  
36 Warehouse Withdrawal for Immediate Exportation  
37 Warehouse Withdrawal for Transportation and Exportation  
67 Immediate Exportation from a Foreign Trade Zone  
68 Transportation and Exportation from a Foreign Trade Zone

### APPENDIX C TO PART 30—SUMMARY OF EXEMPTIONS AND EXCLUSIONS FROM EEI FILING

A. EEI is not required for the following types of shipments:<sup>1</sup>

1. Exemption for shipments destined to Canada (§30.36).

2. Valued \$2,500 or less per Schedule B/ HTSUSA classification for commodities shipped from one USPPI to one consignee on a single carrier (§30.37(a)).

3. Tools of the trade and their containers that are usual and reasonable kinds and quantities of commodities and software intended for use by individual USPPIs or by employees or representatives of the exporting company in furthering the enterprises

<sup>1</sup>Exemption from the requirements for reporting complete commodity information is covered in §30.38; Special exemptions for shipments to the U.S. Armed Services and covered in §30.39; and Special exemptions for certain shipments to U.S. Government agencies and employees are covered in §30.40.